

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent

In re patent application of: MORITA, et al

Serial No.: 09/068,592

Filed: May 14, 1998

For: CATHODE MATERIAL FOR LITHIUM
SECONDARY BATTERY, PROCESS FOR
MANUFACTURING THE SAME, AND
SECONDARY BATTERY USING THE SAME

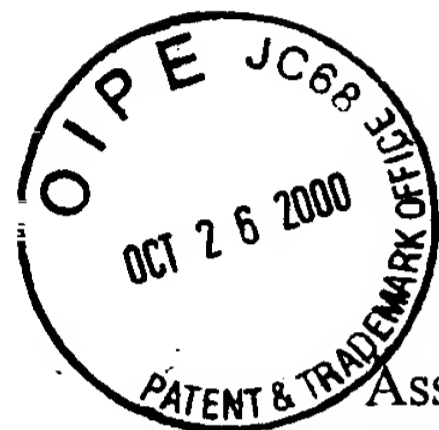
Examiner Weiner, L.

Art Unit 1745

File No. P05934US0/LRP

GROUP 1700

#13
11/1/00



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

SIR:

This Information Disclosure Statement (IDS) is submitted herewith pursuant to 37 CFR.
§1.97-1.98 and includes the following:

- ☒ A listing of the references on PTO-1449.
- ☒ A copy of references which have come to applicant's attention.
- ☐ A copy of a corresponding foreign Search Report explaining the relevance of those references.
- ☒ A separate explanation of relevance.

Please note the following particulars concerning the filing of this IDS:

[NOTE: One only of items a, b, c, and d must be checked.]

- ☐ a. This IDS is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 CFR. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- ☒ b. This IDS is being filed after a first action on the merits but before the mailing date of a final action under 37 CFR. §1.113, or a notice of allowance under 37 CFR. §1.311.

This IDS is accompanied by [check one]:

- ☐ i. a statement in part (e) below as specified in 37 CFR. §1.97(e), or
- ☒ ii. a check in the amount of \$240.00 required by 37 CFR. §1.17(p).

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- c. This IDS is being filed after the mailing date of a final action under 37 CFR §1.113, or a notice of allowance under 37 CFR. §1.311, but before payment of the issue fee.

PETITION: It is requested that the information being submitted be considered.

[NOTE: If box (c) is checked, the following two boxes must be checked.]

..... **PETITION FEE:** A check for \$130.00 required by §1.17(i)(1) is enclosed.

..... **STATEMENT** is attended to in box (e) below.

- d. This IDS is being filed pursuant to 37 CFR. §1.97(i), for placement in the file.

- e. **Statement** [*Check one - Statement is required only if box (b)(i) or box (c) is checked.*]

..... I hereby state that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

..... I hereby state that no item of information in this IDS herewith was cited in a communication from a foreign patent office in a counterpart foreign appln., or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR. §1.56(c) more than 3 months prior to the filing of this IDS.

..... Appropriate statement is attached.

- X f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 12-0555. A duplicate of this sheet is enclosed.

Respectfully submitted,



By: Linda R. Poteate

Registration No.: 36255

Date: October 26, 2000

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